

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUL 01 2003  
GROUP 1700

Patent Application

Inventor(s): Shek Fai Lau et al.  
Serial No.: 09/924,624  
Confirm. No.: 5227  
Filed: August 8, 2001  
Title: Electrode Self-Cleaning Mechanism for Electro-Kinetic Air Transporter-Conditioner Devices

PATENT APPLICATION

Art Unit: 1711  
Examiner: Thao T. Tran

Customer No. 23910

**CERTIFICATE OF OWNERSHIP UNDER 37 C.F.R. §3.73(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Sharper Image Corporation, a Delaware corporation, makes this statement to establish ownership of the patent application identified above pursuant to 37 C.F.R. §3.73(b).

The above-captioned application is a continuation of United States Patent Application Serial No. 09/564,960, filed May 4, 2000 entitled "Electrode Self-Cleaning Mechanism for Electro-Kinetic Air Transporter-Conditioning Devices," which is a continuation-in-part of United States Patent Application Serial No. 09/186,471, filed November 5, 1998 entitled "Electro-Kinetic Air Transporter-Conditioner" (now United States Patent No. 6,176,917, issued January 23, 2001).

The following evidentiary document establishes a chain of title:

The Assignment of parent Application No. 09/564,960 recorded on September 20, 2000 at reel 011098, frames 0383 - 0387, established a chain of title from the original owner to Sharper Image Corporation (the Assignee).

The evidentiary documents have been reviewed and Assignee hereby certifies that, to the best of Assignee's knowledge and belief, title to the above-identified patent application is in the Assignee.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or

imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 5/15/03

Sharper Image Corporation

By: *Andrew J. Parker*

Print Name: Andrew J. Parker

Title: Senior Vice President

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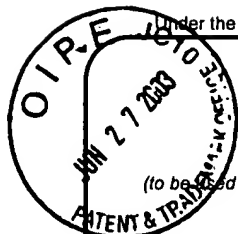
|                                                                                                                             |                        |               |
|-----------------------------------------------------------------------------------------------------------------------------|------------------------|---------------|
| <b>FEE TRANSMITTAL</b><br><b>TOTAL AMOUNT OF PAYMENT</b><br>Subtotal (1) + Subtotal (2) + Subtotal (3) = <b>(\$ 400.00)</b> | Application Number     | 09/924,624    |
|                                                                                                                             | Filing Date            | 8/8/2001      |
|                                                                                                                             | First Named Inventor   | Lau, et al.   |
|                                                                                                                             | Group Art Unit         | 1711          |
|                                                                                                                             | Examiner Name          | Thao T. Tran  |
|                                                                                                                             | Attorney Docket Number | SHPR-01041US4 |

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| METHOD OF PAYMENT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                           | FEE CALCULATION (continued)                                                                                               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---------|--------------|------------|----------------------------------------------------------|--|--------------|------------|--------------------------------|--|------------|------------|------------------|--|------------|------------|-------------------------------|--|-----------|-----------|-------------------------------------|--|------------|------------|------------------------------------------------|-----|-----------|-----------|----------------------------------------------------------------------------|--|------------|------------|-------------------------------------------------------------|--|------------|------------|-----------------------------------------|--|-------------------------------------------|--|--|-----|----------------------|--|--|--|---------------------|--|--|--------------------|
| <b>1. The Commissioner is hereby authorized to:</b><br><br><input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.<br><br><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>†</sup><br><br><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.<br><br>Deposit Account Number: 06-1325<br>Deposit Account Name: Fliesler Dubb Meyer & Lovejoy<br><br><b>2. <input checked="" type="checkbox"/> Payment Enclosed:</b><br>[ X ] Check [ ] Other                                                                                                                                                                                                                                             |                           | <b>3. ADDITIONAL FEES</b> <table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th><th>Fee Due</th></tr></thead><tbody><tr><td>1051/\$130</td><td>2051/\$65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>1052/\$50</td><td>2052/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr><tr><td>1812/\$2,520</td><td>1812/\$2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>1251/\$110</td><td>2251/\$55</td><td>Extension for response within first month<sup>†</sup></td><td>110</td></tr><tr><td>1252/\$400</td><td>2252/\$200</td><td>Extension for response within second month<sup>†</sup></td><td></td></tr><tr><td>1253/\$920</td><td>2253/\$460</td><td>Extension for response within third month<sup>†</sup></td><td></td></tr><tr><td>1254/\$1,440</td><td>2254/\$720</td><td>Extension for response within fourth month<sup>†</sup></td><td></td></tr><tr><td>1255/\$1,960</td><td>2255/\$980</td><td>Extension for response within fifth month<sup>†</sup></td><td></td></tr><tr><td>1401/\$320</td><td>2401/\$160</td><td>Notice of Appeal</td><td></td></tr><tr><td>1453/\$1,280</td><td>2453/\$640</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr><tr><td>1501/\$1,280</td><td>2501/\$640</td><td>Utility Issue Fee (Or Reissue)</td><td></td></tr><tr><td>1502/\$460</td><td>2502/\$230</td><td>Design Issue Fee</td><td></td></tr><tr><td>1460/\$130</td><td>1460/\$130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>1807/\$50</td><td>1807/\$50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>1806/\$180</td><td>1806/\$180</td><td>Submission of Information Disclosure Statement</td><td>180</td></tr><tr><td>8021/\$40</td><td>8021/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809/\$740</td><td>2809/\$370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>1801/\$740</td><td>2801/\$370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td colspan="2">Other fee (specify): Statutory Disclaimer</td><td></td><td>110</td></tr><tr><td colspan="2">Other fee (specify):</td><td></td><td></td></tr><tr><td colspan="3"><b>SUBTOTAL (3)</b></td><td><b>(\$ 400.00)</b></td></tr></tbody></table> |                                 |                 |         | Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due | 1051/\$130 | 2051/\$65  | Surcharge - late filing fee or oath |                        | 1052/\$50  | 2052/\$25                       | Surcharge-late provisional filing fee or cover sheet |         | 1812/\$2,520 | 1812/\$2,520 | For filing a request for reexamination |    | 1251/\$110          | 2251/\$55 | Extension for response within first month <sup>†</sup> | 110          | 1252/\$400                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 2252/\$200 | Extension for response within second month <sup>†</sup> |                           | 1253/\$920      | 2253/\$460 | Extension for response within third month <sup>†</sup> |                        | 1254/\$1,440 | 2254/\$720 | Extension for response within fourth month <sup>†</sup> |            | 1255/\$1,960 | 2255/\$980               | Extension for response within fifth month <sup>†</sup> |           | 1401/\$320                                      | 2401/\$160 | Notice of Appeal    |                                                         | 1453/\$1,280 | 2453/\$640 | Petition to revive unintentionally abandoned application |  | 1501/\$1,280 | 2501/\$640 | Utility Issue Fee (Or Reissue) |  | 1502/\$460 | 2502/\$230 | Design Issue Fee |  | 1460/\$130 | 1460/\$130 | Petitions to the Commissioner |  | 1807/\$50 | 1807/\$50 | Processing fee under 37 CFR 1.17(q) |  | 1806/\$180 | 1806/\$180 | Submission of Information Disclosure Statement | 180 | 8021/\$40 | 8021/\$40 | Recording each patent assignment per property (times number of properties) |  | 1809/\$740 | 2809/\$370 | Filing a submission after final rejection (37 CFR 1.129(a)) |  | 1801/\$740 | 2801/\$370 | Request for Continued Examination (RCE) |  | Other fee (specify): Statutory Disclaimer |  |  | 110 | Other fee (specify): |  |  |  | <b>SUBTOTAL (3)</b> |  |  | <b>(\$ 400.00)</b> |
| Large Entity Fee Code/Fee                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Small Entity Fee Code/Fee | Fee Description                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Fee Due                         |                 |         |                           |                           |                 |         |            |            |                                     |                        |            |                                 |                                                      |         |              |              |                                        |    |                     |           |                                                        |              |                                                                                                                                                                                           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| 1051/\$130                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2051/\$65                 | Surcharge - late filing fee or oath                                                                                       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| 1052/\$50                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 2052/\$25                 | Surcharge-late provisional filing fee or cover sheet                                                                      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| 1812/\$2,520                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 1812/\$2,520              | For filing a request for reexamination                                                                                    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| 1251/\$110                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2251/\$55                 | Extension for response within first month <sup>†</sup>                                                                    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| 1252/\$400                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2252/\$200                | Extension for response within second month <sup>†</sup>                                                                   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| 1253/\$920                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2253/\$460                | Extension for response within third month <sup>†</sup>                                                                    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| 1254/\$1,440                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 2254/\$720                | Extension for response within fourth month <sup>†</sup>                                                                   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| 1255/\$1,960                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 2255/\$980                | Extension for response within fifth month <sup>†</sup>                                                                    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| 1401/\$320                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2401/\$160                | Notice of Appeal                                                                                                          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| 1453/\$1,280                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 2453/\$640                | Petition to revive unintentionally abandoned application                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           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| 1501/\$1,280                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 2501/\$640                | Utility Issue Fee (Or Reissue)                                                                                            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| 1502/\$460                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2502/\$230                | Design Issue Fee                                                                                                          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| 1460/\$130                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 1460/\$130                | Petitions to the Commissioner                                                                                             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| 1807/\$50                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 1807/\$50                 | Processing fee under 37 CFR 1.17(q)                                                                                       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| 1806/\$180                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 1806/\$180                | Submission of Information Disclosure Statement                                                                            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| 8021/\$40                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 8021/\$40                 | Recording each patent assignment per property (times number of properties)                                                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| 1809/\$740                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2809/\$370                | Filing a submission after final rejection (37 CFR 1.129(a))                                                               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| 1801/\$740                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2801/\$370                | Request for Continued Examination (RCE)                                                                                   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| Other fee (specify): Statutory Disclaimer                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |                                                                                                                           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| <b>SUBTOTAL (3)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                           |                                                                                                                           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| <b>FEE CALCULATION (fees effective 10/1/01)</b> <table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th><th>Fee Due</th></tr></thead><tbody><tr><td>1001/\$740</td><td>2001/\$370</td><td>Utility Filing</td><td></td></tr><tr><td>1002/\$330</td><td>2002/\$165</td><td>Design Filing</td><td></td></tr><tr><td>1004/\$740</td><td>2004/\$370</td><td>Reissue</td><td></td></tr><tr><td>1005/\$160</td><td>2005/\$80</td><td>Provisional Filing</td><td></td></tr><tr><td colspan="3"><b>SUBTOTAL (1)</b></td><td><b>(\$ )</b></td></tr></tbody></table>                                                                                                                                                                                                                                                     |                           | Large Entity Fee Code/Fee                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Small Entity Fee Code/Fee       | Fee Description | Fee Due | 1001/\$740                | 2001/\$370                | Utility Filing  |         | 1002/\$330 | 2002/\$165 | Design Filing                       |                        | 1004/\$740 | 2004/\$370                      | Reissue                                              |         | 1005/\$160   | 2005/\$80    | Provisional Filing                     |    | <b>SUBTOTAL (1)</b> |           |                                                        | <b>(\$ )</b> | <b>2. CLAIMS</b> <table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th></tr></thead><tbody><tr><td>1202/\$18</td><td>2202/\$9</td><td>Claims in excess of 20</td></tr><tr><td>1201/\$84</td><td>2201/\$42</td><td>Independent claims in excess of 3</td></tr><tr><td>1203/\$280</td><td>2203/\$140</td><td>Multiple dependent claim</td></tr><tr><td>1204/\$84</td><td>2204/\$42</td><td>Reissue independent claims over original patent</td></tr><tr><td>1205/\$18</td><td>2205/\$9</td><td>Reissue claims in excess of 20 and over original patent</td></tr></tbody></table> |            | Large Entity Fee Code/Fee                               | Small Entity Fee Code/Fee | Fee Description | 1202/\$18  | 2202/\$9                                               | Claims in excess of 20 | 1201/\$84    | 2201/\$42  | Independent claims in excess of 3                       | 1203/\$280 | 2203/\$140   | Multiple dependent claim | 1204/\$84                                              | 2204/\$42 | Reissue independent claims over original patent | 1205/\$18  | 2205/\$9            | Reissue claims in excess of 20 and over original patent |              |            |                                                          |  |              |            |                                |  |            |            |                  |  |            |            |                               |  |           |           |                                     |  |            |            |                                                |     |           |           |                                                                            |  |            |            |                                                             |  |            |            |                                         |  |                                           |  |  |     |                      |  |  |  |                     |  |  |                    |
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| 1001/\$740                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2001/\$370                | Utility Filing                                                                                                            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| 1002/\$330                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2002/\$165                | Design Filing                                                                                                             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| 1004/\$740                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2004/\$370                | Reissue                                                                                                                   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| 1005/\$160                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2005/\$80                 | Provisional Filing                                                                                                        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| <b>SUBTOTAL (1)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                           |                                                                                                                           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| 1202/\$18                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 2202/\$9                  | Claims in excess of 20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             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| 1201/\$84                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 2201/\$42                 | Independent claims in excess of 3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  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| 1203/\$280                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 2203/\$140                | Multiple dependent claim                                                                                                  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| 1204/\$84                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 2204/\$42                 | Reissue independent claims over original patent                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             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| 1205/\$18                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 2205/\$9                  | Reissue claims in excess of 20 and over original patent                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            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| <table border="1"><thead><tr><th colspan="2">(Col. 1)</th><th colspan="2">(Col. 2)</th><th colspan="2">(Col. 3)</th><th colspan="2"></th></tr><tr><th>For</th><th>No. of Existing Claims</th><th></th><th>Highest No. Previously Paid For</th><th></th><th>Extra**</th><th>Fee</th><th>Fee Due</th></tr></thead><tbody><tr><td>TOTAL</td><td>31</td><td>minus*</td><td>20 or 58</td><td>=</td><td>0</td><td>x</td><td>0</td></tr><tr><td>INDEP</td><td>12</td><td>minus*</td><td>3 or 16</td><td>=</td><td>0</td><td>x</td><td>0</td></tr><tr><td colspan="8">[ ] First presentation of multiple dependent claim</td></tr></tbody></table> <p>* Subtract the greater number of Col. 2<br/>** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3</p> <table border="1"><tr><td><b>SUBTOTAL (2)</b></td><td><b>(\$ 0)</b></td></tr></table> |                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                 | (Col. 1)        |         | (Col. 2)                  |                           | (Col. 3)        |         |            |            | For                                 | No. of Existing Claims |            | Highest No. Previously Paid For |                                                      | Extra** | Fee          | Fee Due      | TOTAL                                  | 31 | minus*              | 20 or 58  | =                                                      | 0            | x                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 0          | INDEP                                                   | 12                        | minus*          | 3 or 16    | =                                                      | 0                      | x            | 0          | [ ] First presentation of multiple dependent claim      |            |              |                          |                                                        |           |                                                 |            | <b>SUBTOTAL (2)</b> | <b>(\$ 0)</b>                                           |              |            |                                                          |  |              |            |                                |  |            |            |                  |  |            |            |                               |  |           |           |                                     |  |            |            |                                                |     |           |           |                                                                            |  |            |            |                                                             |  |            |            |                                         |  |                                           |  |  |     |                      |  |  |  |                     |  |  |                    |
| (Col. 1)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                           | (Col. 2)                                                                                                                  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| For                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | No. of Existing Claims    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Highest No. Previously Paid For |                 | Extra** | Fee                       | Fee Due                   |                 |         |            |            |                                     |                        |            |                                 |                                                      |         |              |              |                                        |    |                     |           |                                                        |              |                                                                                                                                                                                           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| TOTAL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 31                        | minus*                                                                                                                    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| INDEP                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 12                        | minus*                                                                                                                    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| [ ] First presentation of multiple dependent claim                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                           |                                                                                                                           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| <b>SUBTOTAL (2)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>(\$ 0)</b>             |                                                                                                                           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|                       |                         |                                 |         |
|-----------------------|-------------------------|---------------------------------|---------|
| <b>SUBMITTED BY</b>   |                         | <b>Complete (if applicable)</b> |         |
| Typed or Printed Name | Jeffrey R. Kurin        | Reg. Number                     | 41,132  |
| Signature             | <i>Jeffrey R. Kurin</i> | Date                            | 6/25/03 |

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

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|------------------------------------------|-----|-----------------------------------------|
| Application Number                       |     | 09/924,624                              |
| Filing Date                              |     | 8/8/2001                                |
| First Named Inventor                     |     | Shek Fai Lau                            |
| Art Unit                                 |     | 1711                                    |
| Examiner Name                            |     | Thao T. Tran                            |
| Total Number of Pages in This Submission | n/a | Attorney Docket Number<br>SHPR-01041US4 |

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**ENCLOSURES (Check all that apply)**

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| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input checked="" type="checkbox"/> Form 1449<br><input checked="" type="checkbox"/> (40) Cited Documents<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Certificate of Ownership<br><input checked="" type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):<br>Post Card |
| Remarks<br><br>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |                                                       |                          |
|-------------------------|-------------------------------------------------------|--------------------------|
| Firm or Individual name | Jeffrey R. Kurin<br>Fliesler Dubb Meyer & Lovejoy LLP |                          |
| Signature               | <i>Jeffrey R. Kurin</i>                               | Registration No.: 41,132 |
| Date                    | June 25, 2003                                         |                          |

**CERTIFICATE OF TRANSMISSION/MAILING**

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| Signature             | <i>Jeffrey R. Kurin</i> | Date | 6/25/03 |

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